

Practitioner's Docket No. 939_023

PATENT

NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on March 28, 2001 under "EXPRESS MAIL" mailing label number **EL742732875US**.

Janet M. Stevens

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

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Title: OPTICAL FIBER ARRAY

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 19 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 6 Sheets of Formal Drawings (Figs. 1-7(b))
- ☒ [x] Petition to Accept Color Drawings (37 CFR 1.84(a)(2))
- ☒ [x] Figs. 2a-2h (in triplicate)

B. Other Papers Enclosed

- ☒ [x] A Patent Application Bibliographic Data
- ☒ [x] A Preliminary Amendment
- ☐ [] An Information Disclosure Statement
- ☐ [] PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

- ☒ [x] A combined Declaration and Power of Attorney
- ☒ [x] A Claim for Priority and certified copies of Japanese Application 2000-093,230 filed March 30, 2000 and Japanese Application 2001-042,293 filed February 19, 2001
- ☒ [x] An Assignment Transmittal and Assignment of the invention to NGK Insulators, Ltd.

3. The correspondence address for this application is:

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4. Small Entity Status

☐ Applicant claims small entity status. See 37 CFR § 1.27.

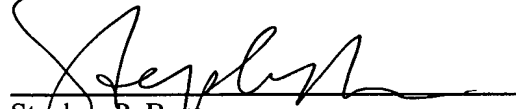
5. The filing fee has been calculated as shown below:

A.	Filing Fee	
	<input checked="" type="checkbox"/> Original Patent Application	\$ <u>710.00</u>
	<small>(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)</small>	
B.	Fees for Claims (6 Claims; 1 Indep.)	
	<input type="checkbox"/> each independent claim in excess of 3	\$ <u> .00</u>
	<small>(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00)</small>	
	<input type="checkbox"/> each claim in excess of 20	\$ <u> .00</u>
	<small>(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)</small>	
	<input type="checkbox"/> multiple dependent claim(s)	\$ <u> .00</u>
	<small>(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00)</small>	
C.	Surcharge fees	
	<input checked="" type="checkbox"/> late payment of filing fee	\$ <u>130.00</u>
	<small>(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)</small>	
	and/or	
	<input checked="" type="checkbox"/> late filing of original declaration or oath	\$ <u> .00</u>
	<small>(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)</small>	
	<small>NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).</small>	
D.	<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	\$ <u> .00</u>
	<small>(37 C.F.R. 1.17(h) and 1.47--\$130.00)</small>	
E.	<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language	\$ <u> .00</u>
	<small>(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)</small>	
F.	<input checked="" type="checkbox"/> Assignment Fee	\$ <u>40.00</u>
	Total Fees Due	\$ 880.00

**6. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
☐ The Commissioner is hereby authorized to charge payment of the following fees
associated with this communication or credit any overpayment to Deposit Account No.
50-1446. A duplicate copy of this sheet is enclosed.
☐ Any additional filing fees required under 37 CFR 1.16.
☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
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March 28, 2001
Date

SPB/eav

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